

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0110357-029

In Re Application Of: Anderson et al.

NOV 01 2004  
USPTO EXAMINER

|                 |                 |                  |              |                |                  |
|-----------------|-----------------|------------------|--------------|----------------|------------------|
| Application No. | Filing Date     | Examiner         | Customer No. | Group Art Unit | Confirmation No. |
| 10/644,294      | August 20, 2003 | Hilary L. Gutman | 24573        | 3612           | 6658             |

Title: SUPPLEMENTAL RESTRAINT FOR AUTO-RACK RAILROAD CAR RESTRAINT SYSTEM

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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PATENT & TRADEMARK OFFICE

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| 10/644,294      | August 20, 2003 | Hilary L. Gutman | 24573        | 3612           | 6658             |

Title: SUPPLEMENTAL RESTRAINT FOR AUTO-RACK RAILROAD CAR RESTRAINT SYSTEM

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \$180.00 is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
  - Charge the amount of
  - Credit any overpayment.
  - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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October 29, 2004

(Date)

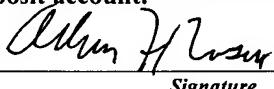


Signature of Person Mailing Correspondence

Renee M. Street

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

Adam H. Masia, Reg. No. 35,602  
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Dated: October 29, 2004

CC:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John D. Anderson, et al.  
Appl. No.: 10/644,294  
Conf. No.: 6658  
Filed: August 20, 2003  
Title: SUPPLEMENTAL RESTRAINT FOR AUTO-RACK RAILROAD CAR  
RESTRAINT SYSTEM  
Art Unit: 3612  
Examiner: Hilary L. Gutman  
Docket No.: 0110357-029

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

### U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u>     | <u>Inventor</u> |
|---------------------|-----------------|-----------------|
| 5,239,933           | August 31, 1993 | Murphy et al.   |

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

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Dated: October 29, 2004



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|---|---------------------------------------|-------------------------------|
| <b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br>(Use several sheets if necessary) | Atty Docket No.<br>0110357-029        | Application No.<br>10/644,294 |
|   | Applicant<br>John D. Anderson, et al. |                               |
| PTO Form 1449   | Filing Date<br>August 20, 2003        | Group<br>3612                 |

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

| Examiner's<br>Initials | OTHER DOCUMENTS ( <i>Including Author, Title, Date, Pertinent Pages, Etc.</i> ) |
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| Examiner:  | Date Considered: |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                  |